

# Ljubljana Stock Exchange Inc. Services Fee Schedule

Ljubljana, 18 December 2020



**LJUBLJANSKA BORZA**  
**LJUBLJANA** *Stock Exchange*



## Table of Contents

<b>1</b>	<b>EQUITY MARKET</b>	<b>3</b>
1.1	Listing fees for shares	3
1.1.1	PRIME MARKET	3
1.1.2	STANDARD MARKET	3
1.2	Annual listing maintenance fee for shares	3
1.2.1	PRIME MARKET	4
1.2.2	STANDARD MARKET	4
1.3	Fee for transfer of shares within equity market	4
<b>2</b>	<b>BOND MARKET</b>	<b>4</b>
2.1	Listing fees for bonds	4
2.2	Listing fees for commercial papers and T-bills	4
2.3	Annual listing maintenance fee for bonds	5
2.4	Annual listing maintenance fee for commercial papers and T-bills	5
2.5	Bonds issued by Republika Slovenija	5
<b>3</b>	<b>STRUCTURED PRODUCTS MARKET</b>	<b>5</b>
3.1	Listing fee for open-end fund shares	5
3.2	Listing fee for close-end fund shares	5
3.3	Listing fee for structured products	5
3.4	Annual listing maintenance fee for open-end fund shares	6
3.5	Annual listing maintenance fee for closed-end fund shares	6
3.6	Annual listing maintenance fee for other structured products	6
<b>4</b>	<b>FEES CHARGED FOR LISTING AND MAINTENANCE OF DEPOSITARY RECEIPTS</b>	<b>6</b>
<b>5</b>	<b>FEES CHARGED FOR ADOPTING DECISIONS</b>	<b>6</b>
5.1	Fee for deciding on listing	7
5.2	Fee for deciding on temporary suspension of trading	7
5.3	Fee for deciding on changes in listing	7
5.4	Fee for deciding on delisting	7
5.5	Fee for deciding on transfer of a security	7
<b>6</b>	<b>GENERAL PROVISIONS APPLICABLE TO SECTIONS 1–5 OF THE FEE SCHEDULE</b>	<b>7</b>
<b>7</b>	<b>FEES CHARGED FOR ADMISSION OF MEMBER FIRMS</b>	<b>8</b>
7.1	Annual fees	8
<b>8</b>	<b>TRANSACTION FEES</b>	<b>8</b>
8.1	Exchange transaction fees for "Class 1"	8
8.2	Exchange transaction fees for "Class 2"	9
8.3	Exchange transaction fees for "Class 3"	9



8.4	Exchange transaction fees for "Class 4" .....	10
8.5	Charging fees to liquidity providers .....	10
8.6	Other provisions on charging fees.....	11
<b>9</b>	<b>FEES CHARGED FOR ADOPTING DECISIONS RELATED TO MEMBERS .....</b>	<b>11</b>
<b>10</b>	<b>GENERAL PROVISIONS APPLICABLE TO SECTIONS 7–9 OF THE FEE SCHEDULE.....</b>	<b>12</b>
<b>11</b>	<b>OTHER LJUBLJANA STOCK EXCHANGE SERVICES .....</b>	<b>12</b>
11.1	Licence fee for use of LJSE indices.....	12
11.2	Daily publication of open-end fund NAV per unit.....	13
11.3	Daily publication of closed-end fund shares book value .....	13
11.4	Proficiency examination for traders .....	13
11.5	Monthly fees for access to market data .....	14
11.6	Monthly SEOin fees and INFO STORAGE fees .....	14
11.7	Other Exchange services are charged in compliance with the following fee schedules and agreements.....	15
<b>12</b>	<b>VALUE ADDED TAX .....</b>	<b>15</b>
<b>13</b>	<b>MANNER OF PAYMENT .....</b>	<b>15</b>
<b>14</b>	<b>DISCOUNTS AND FEE REDUCTIONS.....</b>	<b>16</b>
<b>15</b>	<b>CHANGES OF FEE SCHEDULE.....</b>	<b>16</b>
<b>16</b>	<b>TRANSITIONAL AND FINAL PROVISIONS .....</b>	<b>17</b>



On the basis of Article 34 of the Ljubljana Stock Exchange Inc. Articles of Association with latest amendments and supplements, the Ljubljana Stock Exchange Inc. adopted at its meeting on 18 December 2020 the following tariff or

## Ljubljana Stock Exchange Inc. SERVICES FEE SCHEDULE

### 1 EQUITY MARKET

#### 1.1 Listing fees for shares

##### 1.1.1 PRIME MARKET

1.1.1.1 The fee for listing shares on Prime Market is **0.03%** of the value of the class of shares, whereby the minimum listing fee is **EUR 5,000.00**, and the maximum listing fee is **EUR 25,000.00**.

1.1.1.2 The fee for listing subsequent issues of shares from the same class as the shares already listed is **0.02 %** of the value of the class of shares, whereby the minimum listing fee for subsequent issues of shares is **EUR 1,000.00**, and the maximum listing fee for subsequent issues of shares is **EUR 10,000.00**.

##### 1.1.2 STANDARD MARKET

1.1.2.1 The fee for listing shares on Standard Market is **0.03%** of the value of the class of shares, whereby the maximum listing fee is **EUR 5,000.00**.

1.1.2.2 The fee for listing subsequent issues of shares from the same class as the shares already listed is **0.02 %** of the value of the class of shares, whereby the maximum listing fee for subsequent issues of shares is **EUR 2,500.00**.

Calculation of the fees from points 1.1.1. and 1.1.2 takes into account the shares' book value as at their initial listing on the regulated market. If the respective shares had been traded on the regulated market for over 6 months, market capitalization will be considered instead; average market capitalization of the shares of the same class for the most recent 6 months of trading on the regulated market will be considered, applying the market capitalization as at the last day of each month.

#### 1.2 Annual listing maintenance fee for shares

The listing maintenance fee applies to individual classes of shares listed on individual sub-segments of the stock market. The listing maintenance fee for shares on the Prime and Standard Market is calculated applying the market capitalization of listed shares as at the last trading day of the previous year. In case of a first listing, the shares' audited book value as at the last financial year will be applied instead.



### 1.2.1 PRIME MARKET

1.2.1.1 The annual listing maintenance fee for shares on Prime Market is **0.01%** of the value of the class of shares, whereby the minimum listing maintenance fee for shares is **EUR 7,500.00**, and the maximum listing maintenance fee is **EUR 15,000.00**.

### 1.2.2 STANDARD MARKET

1.2.1.1 The annual listing maintenance fee for shares on Standard Market is **0.03%** of the value of the class of shares, whereby the minimum listing maintenance fee for shares is **EUR 5,000.00**, and the maximum listing maintenance fee is **EUR 10,000.00**.

## 1.3 Fee for transfer of shares within equity market

**1.3.1** The fee for transferring shares from Standard Market to Prime Market or from SI ENTER to Standard Market or from SI ENTER to Prime Market is 50% of the value of the listing fee for Prime or Standard Market, from item 1.1.1.1. and 1.1.2.1.

**1.3.2** The fee for transferring shares into a lower market segment is 50% of the value of the listing fee for Standard Market, from item 1.1.2.1.

**1.3.3** The transfer is free in the case the security is listed in one segment for more than 5 years.

## 2 BOND MARKET

### 2.1 Listing fees for bonds

**2.1.1** The fee for listing a bond series on the stock exchange market is **0.01%** of the value of the class of bonds, whereby the minimum listing fee for bonds is **EUR 1,000.00**, and the maximum listing fee is **EUR 5,000.00**.

**2.1.2** In calculating the fee from point 2.1.1. the nominal value of when the bonds are first listed on the regulated market is taken into account.

**2.1.3** If bonds are issued in several subsequent issues or over a one-year programme, the listing fee is only charged upon initial listing, while each subsequent issue is levied with a fee for adopting decisions.

### 2.2 Listing fees for commercial papers and T-bills

**2.2.1** The fee for listing commercial papers on the stock exchange market is **EUR 1,000.00**.



**2.2.2** The fee for listing T-bills on the stock exchange market is for:

2.2.2.1 T-bills of up to one-year maturity

**EUR 500.00;**

2.2.2.2 T-bills with a maturity of more than 1 year

**EUR 1,000.00.**

## 2.3 Annual listing maintenance fee for bonds

**2.3.1** The annual listing maintenance fee for bonds is **0.01%** of the value of the class of bonds, whereby the minimum annual listing maintenance fee for bonds is **EUR 1,000.00**, and the maximum fee is **EUR 5,000.00**.

## 2.4 Annual listing maintenance fee for commercial papers and T-bills

**2.4.1** There is no annual listing maintenance fee for commercial papers and T-bills.

## 2.5 Bonds issued by Republika Slovenija

All fees for bonds issued by Republika Slovenija are stipulated in and will change pursuant to the Agreement on Listing Bonds by Republika Slovenija on the Stock Exchange Market.

# 3 STRUCTURED PRODUCTS MARKET

## 3.1 Listing fee for open-end fund shares

**3.1.1** The fee for listing open-end fund shares is **EUR 2,000.00**.

## 3.2 Listing fee for close-end fund shares

**3.2.1** The fee for listing closed-end fund shares on the stock exchange market is **EUR 2,000.00**.

**3.2.2** The fee for listing further issues of closed-end fund shares on the stock exchange market is **EUR 1,000.00**.

## 3.3 Listing fee for structured products

**3.3.1** The fee for listing investment certificates, warrants, freely transferrable rights and other securities on the Structured Products Market is **EUR 1,000.00**.



**3.3.2** If securities are issued in several subsequent issues or over a one-year programme, the listing fee is only charged upon initial listing, while each subsequent issue is levied with only the fee for adopting decisions.

**3.3.3** If listed securities have a maturity date shorter than one year, such as money deposit receipts, and are not given under 3.3.1 or 3.3.2, the listing fee does not apply, the fee for adopting decisions being charged instead.

### 3.4 Annual listing maintenance fee for open-end fund shares

**3.4.1** The annual listing maintenance fee for open-end fund shares is **EUR 2,000.00**.

### 3.5 Annual listing maintenance fee for closed-end fund shares

**3.5.1** The annual listing maintenance fee for closed-end fund shares is **EUR 2,500.00**.

### 3.6 Annual listing maintenance fee for other structured products

**3.6.1** The listing maintenance fee for investment certificates is **EUR 1,500.00**.

**3.6.2** The listing maintenance fee for warrants, freely transferrable rights and other securities is **EUR 1,500.00**.

**3.6.3** The listing maintenance fee for securities with a maturity date shorter than one year, such as money deposit receipts, that are not given under 3.3.1 or 3.3.2, does not apply.

## 4 FEES CHARGED FOR LISTING AND MAINTENANCE OF DEPOSITARY RECEIPTS

For the fees for adopting decisions, listing fees and annual listing maintenance fees for depositary receipts on securities, the points of this Fee Schedule relating to core instruments apply.

## 5 FEES CHARGED FOR ADOPTING DECISIONS

The fee for adopting decisions under 5.1, 5.3 and 5.4 shall be settled when the application is filed, notwithstanding the Exchange's later dismissal or rejection of the application or its termination of the decision procedure. The fee charged for adopting a decision in a matter in which the client did not file an application, and the fee for adopting a decision from 5.2., must be settled within 8 days after the decision and respective invoice have been issued.



The fee for adopting decisions in matters given under 5.1, 5.2, 5.3 and 5.4 is **EUR 500.00**.

## 5.1 Fee for deciding on listing

**5.1.1** The fee for deciding on the listing of shares, bonds, commercial papers, T-bills, open-end funds shares, close-end fund shares, investment certificates, warrants, freely transferrable rights and other securities on the stock exchange market.

## 5.2 Fee for deciding on temporary suspension of trading

**5.2.1** The fee for deciding on a temporary suspension of trading in a security. The fee for deciding on a suspension of trading in a security pursuant to the first indent of the first paragraph of Article 31 and the second paragraph of Article 31 of the Rules will not be charged.

## 5.3 Fee for deciding on changes in listing

**5.3.1** The fee for deciding on a change in listing (increase or decrease in the number of securities, other changes).

## 5.4 Fee for deciding on delisting

**5.4.1** The fee for deciding on the delisting of a security from the stock exchange market. The delisting fee will not be charged for debt securities or structured products that have reached maturity.

## 5.5 Fee for deciding on transfer of a security

**5.5.1** The fee for deciding on a transfer of a security into a higher or lower sub-segment of the stock exchange market.

## 6 GENERAL PROVISIONS APPLICABLE TO SECTIONS 1–5 OF THE FEE SCHEDULE

6.1 The fees from Sections 1–5 of this Fee Schedule shall be settled by the person that applied for listing on the stock exchange market, pursuant to Article 3 of the Exchange Rules.

6.2 The fee for listing securities on the stock exchange market, from points 1.1, 2.1, 2.2, 3.1, 3.2 and 3.3 of this Fee Schedule is charged upon listing. The person liable will be required to pay it within the time period specified in the operative part of the respective decision, prior to the beginning of trading and not later than within 8 days after the invoice issue date.





6.3 The annual listing maintenance fee from points 1.2, 2.3, 3.4, 3.5 and 3.6 of this Fee Schedule is charged per calendar year, for each month of listing. It is billed in the first month of the period. If securities get delisted or reach maturity prior to the end of the calendar year, the fee is charged for each started month of the year in the proportionate amount of the monthly fee ( $\frac{1}{12}$  of annual fee).

## 7 FEES CHARGED FOR ADMISSION OF MEMBER FIRMS

### 7.1 Annual fees

**7.1.1** The annual membership fee for trading members is **EUR 4,000.00**.

The fee is charged for each month of membership for the period of one calendar year, and is billed in the first month of the period. Should a member's membership commence or terminate half way through the month, the annual fee will be charged for each started month of the year in the proportionate amount of the monthly fee ( $\frac{1}{12}$  of annual fee).

## 8 TRANSACTION FEES

Member firms pay for both, the buyer and seller part of each trade transacted on the regulated market.

The fee for each transaction is calculated on the basis of transaction costs, the amount of which depends on the compensation model chosen by the member:

Compensation model	Minimum monthly fee for trade execution on the regulated market and the SI ENTER market	Fee for trade execution, item in the fee schedule
Class 1	1,000.00	8.1
Class 2	5,000.00	8.2
Class 3	10,000.00	8.3
Class 4	15,000.00	8.4

If a member firm does not choose a compensation model, it is classified in "Class 1".

### 8.1 Exchange transaction fees for "Class 1"

**8.1.1** The LJSE transaction fee for shares is **0.08 %** of the value of the transaction.

**8.1.2** The LJSE transaction fee for open-end fund shares is **0.08 %** of the value of the transaction.

**8.1.3** The LJSE transaction fee for investment certificates and other structured products is **0.02 %** of the value of the transaction.



- 8.1.4** The LJSE transaction fee for bonds is **0.035 %** of the value of the transaction.
- 8.1.5** The LJSE transaction fee for short-term securities, such as treasury bills, money deposit receipts and commercial papers is **0.02 %** of the value of the transaction.
- 8.1.6** The LJSE transaction fee for depositary receipts is the same as the transaction fee for the security they refer to.
- 8.1.7** The LJSE transaction fee on the buy or sale side is a minimum of **EUR 1.00** and a maximum of **EUR 300.00**.
- 8.2** Exchange transaction fees for "Class 2"
- 8.2.1** The LJSE transaction fee for shares is **0.07 %** of the value of the transaction.
- 8.2.2** The LJSE transaction fee for open-end fund shares is **0.07 %** of the value of the transaction.
- 8.2.3** The LJSE transaction fee for investment certificates and other structured products is **0.02 %** of the value of the transaction.
- 8.2.4** The LJSE transaction fee for bonds is **0.03 %** of the value of the transaction.
- 8.2.5** The LJSE transaction fee for short-term securities, such as treasury bills, money deposit receipts and commercial papers is **0.02 %** of the value of the transaction.
- 8.2.6** The LJSE transaction fee for depositary receipts is the same as the transaction fee for the security they refer to.
- 8.2.7** The LJSE transaction fee on the buy or sale side is a minimum of **EUR 0.90** and a maximum of **EUR 300.00**.
- 8.3** Exchange transaction fees for "Class 3"
- 8.3.1** The LJSE transaction fee for shares is **0.06 %** of the value of the transaction.
- 8.3.2** The LJSE transaction fee for open-end fund shares is **0.06 %** of the value of the transaction.
- 8.3.3** The LJSE transaction fee for investment certificates and other structured products is **0.02 %** of the value of the transaction.
- 8.3.4** The LJSE transaction fee for bonds is **0.025 %** of the value of the transaction.
- 8.3.5** The LJSE transaction fee for short-term securities, such as treasury bills, money deposit receipts and commercial papers is **0.02 %** of the value of the transaction.



**8.3.6** The LJSE transaction fee for depositary receipts is the same as the transaction fee for the security they refer to.

**8.3.7** The LJSE transaction fee on the buy or sale side is a minimum of **EUR 0.80** and a maximum of **EUR 300.00**.

## 8.4 Exchange transaction fees for "Class 4"

**8.4.1** The LJSE transaction fee for shares is **0.05 %** of the value of the transaction.

**8.4.2** The LJSE transaction fee for open-end fund shares is **0.05 %** of the value of the transaction.

**8.4.3** The LJSE transaction fee for investment certificates and other structured products is **0.02 %** of the value of the transaction.

**8.4.4** The LJSE transaction fee for bonds is **0.02 %** of the value of the transaction.

**8.4.5** The LJSE transaction fee for short-term securities, such as treasury bills, money deposit receipts and commercial papers is **0.02 %** of the value of the transaction.

**8.4.6** The LJSE transaction fee for depositary receipts is the same as the transaction fee for the security they refer to.

**8.4.7** The LJSE transaction fee on the buy or sale side is a minimum of **EUR 0.70** and a maximum of **EUR 300.00**.

## 8.5 Charging fees to liquidity providers

Liquidity providers are approved a discount off the fees for deals executed for the designated sponsor account, depending on their diligence and considering the group into which the security for which they provide liquidity has been allocated:

<b>8.5.1</b> Group S1	30% discount
<b>8.5.2</b> Group S2	40% discount
<b>8.5.3</b> Group S3	50% discount

Discounts are taken off the transaction fees for individual trades, whereby the minimal trading fee for each individual side of the transaction is at least in the amount determined for each compensation model in Items 8.1.7., 8.2.7., 8.3.7. in 8.4.7., regardless of the discount. The classification of securities into groups and the conditions for liquidity providers are stipulated by the Exchange Rules and Instructions. If the liquidity provider does not meet the requisite criteria, the discount will not be granted.



## 8.6 Other provisions on charging fees

**8.6.1** If the member firm decides to apply tariff items 8.2., 8.3. and 8.4., the member firm undertakes to pay the minimum fee amount, with a change period of 3 months from the date of the written notice. The change shall be taken into account on the first day of the month following the expiration of the change period.

**8.6.2** The LJSE buyer and seller fee for an executed block trade is:

8.6.2.1 Shares and structured products (open-end fund shares, closed-end fund shares, investment certificates, other structured products – warrants, rights, other securities) **0.04%** of the value of the transaction but no more than **EUR 600.00**.

8.6.2.2 Bonds **0.03%** of the value of the transaction or no more than **EUR 600.00**.

8.6.2.3 Commercial papers and treasury bonds **0.02%** of the value of the transaction, but no more than **EUR 600.00**.

**8.6.3** Fees are charged once a month, following the end of the month. The minimum fee does not include the fee for an executed block trade.

**8.6.4** The costs of trade cancellation are **EUR 10.00** per trade and are paid by the initiator.

## 9 FEES CHARGED FOR ADOPTING DECISIONS RELATED TO MEMBERS

9.1 **Fee for adopting decisions on admission or suspension of members, traders and activation or deactivation of electronic interfaces of member firms, direct access of member firm's clients and functionality for algorithmic trading.**

**9.1.1** The fee for deciding on the admission of a member firm is **EUR 500.00**.

**9.1.2** The fee for deciding on the admission of a trader to trading on the Exchange and on the changes of a trader is **EUR 100.00**.

**9.1.3** The fee for deciding on admission or suspension of an electronic interface of a member firm is **EUR 100.00**.

**9.1.4** The fee for deciding on admission or suspension of direct access of a member firm's client is **EUR 100.00**.

**9.1.5** The fee for deciding on admission or suspension of functionality for algorithmic trading of a member firm is **EUR 100.00**.

**9.1.6** The fee for deciding on suspension of a member firm on the basis of Article 80, Article 81 or Article 84 is **EUR 500.00**.



- 9.1.7** The fee for deciding on a suspension or a temporary suspension of a trader or electronic interface from trading on the Exchange, on the basis of Article 93 or 94 of the Rules is **EUR 100.00**.
- 9.1.8** The fee for setting up a trader in the trading system on behalf of a member firm is **EUR 100.00**.
- 9.1.9** The fee for performing a change or cancellation of a trader in the trading system on behalf of a member firm is **EUR 50.00**.

Member firms are obliged to settle the fees from 9.1.1 until 9.1.9 within 8 days of the invoice being issued, on the basis of an invoice they receive from the Exchange.

## 9.2 Lump costs of proceedings related to violations of obligations by member firms

- 1.1.1** When the Exchange imposes a censure, in compliance with Article 183 of the Rules, the member on whom the measure was imposed is obliged to settle the lump costs of the proceedings in the amount of **EUR 1,000.00**.
- 1.1.2** When the Exchange imposes the measure of suspending a member from trading, in compliance with Article 183 of the Rules, the member on whom the measure was imposed is obliged to settle the lump costs of the proceedings in the amount of **EUR 2,000.00**.
- 1.1.3** The lump costs of the proceedings are charged individually to each member against whom the proceedings were run, regardless of whether the proceedings were run separately or jointly.

In the event of measures imposed on members due to their violating their obligations, members are obliged to settle the costs relating to the proceedings within 8 days after the decision is final and executable and on the basis of an invoice they receive from the Exchange.

## 10 GENERAL PROVISIONS APPLICABLE TO SECTIONS 7–9 OF THE FEE SCHEDULE

- 10.1 The fees from Sections 7–9 of this Fee Schedule shall be settled by the person that applied for membership on the stock exchange market, pursuant to Article 70 of the Rules.
- 10.2 Minimum monthly fee for trade execution on the regulated market from points 8.1 shall be charged for a whole month should a member commence or terminate trading on the regulated market in the middle of the month.

## 11 OTHER LJUBLJANA STOCK EXCHANGE SERVICES

- 11.1 Licence fee for use of LJSE indices



### 11.1.1 Licence fee for use of LJSE indices in an investment fund

The licence fee for use of the LJSE indices for the purpose of reduplicating their structure and using index names for commercial purposes in an investment fund comes to an annual **EUR 3,000.00 + 0.03 %** of the value of assets under management.

The annual licence fee must be settled on the first day of using the licence and then every 12 months, considering the assets under management on the accounting day.

### 11.1.2 Licence fee for use of LJSE indices in other financial products

#### 11.1.2.1 Licence fee for use of LJSE indices in single product

The licence fee for the use of LJSE indices for the purpose of reduplicating their structure and using an index name for commercial purposes in a single financial product (other than investment fund) comes to **EUR 5,000.00**.

The issuer must settle the licence fee within 30 days after being invoiced on the basis of a signed agreement. In this manner, the issuer becomes the owner of the index licence for the period of 5 years

#### 11.1.2.2 Licence fee for use of LJSE indices in unlimited number of products

The licence fee for the use of LJSE indices for the purpose of reduplicating their structure and using the names of all LJSE indices in an unlimited number of financial products (other than investment funds) issued within 12 months after signing the licence agreement comes to **EUR 27,500.00**.

The issuer must settle the licence fee within 30 days after being invoiced on the basis of a signed agreement. The licence fee will be valid until the respective financial products reach maturity.

## 11.2 Daily publication of open-end fund NAV per unit

**11.2.1** Annual Exchange services relating to the daily publication of open-end fund NAV per unit are charged **EUR 1,600.00**.

## 11.3 Daily publication of closed-end fund shares book value

**11.3.1** Annual Exchange services relating to the daily publication of individual closed-end fund shares book values are charged **EUR 1,600.00**.

## 11.4 Proficiency examination for traders

**11.4.1** The fee for proficiency examinations for traders is **EUR 350.00**.

The candidate is obliged to settle the examination costs prior to the examination.



## 11.5 Monthly fees for access to market data

### 11.5.1 Market data fees

All market data fees are stipulated and amended pursuant to the Ljubljana Stock Exchange Inc. Market Data Fee Schedule.

## 11.6 Monthly SEOin fees and INFO STORAGE fees

### 11.6.1 SEO Service

11.6.1.1 Monthly SEO fee	<b>EUR 300.00</b>
• SEOin access for 2 users	
11.6.1.2 Monthly SEO fee for fund managers, with unlimited no. of close-end and open-end funds	<b>EUR 300.00</b>
• SEOin access for 2 users	
11.6.1.3 SEOin access for additional user	<b>EUR 15.00</b>
11.6.1.4 Single public SEOnet announcement for non-subscribers	<b>EUR 300.00</b>
11.6.1.5 Single public SEOnet announcement for regular subscribers	<b>EUR 150.00</b>

The SEOnet information dissemination system is intended for public announcements of regulated information, and may be accessed through a browser, over the Internet. Its functionality is detailed in the relevant User Manual.

### 11.6.2 INFO STORAGE service

11.6.2.1 Monthly INFO STORAGE Fee	<b>EUR 200.00</b>
• INFO STORAGE access for 2 users	
11.6.2.2 Monthly INFO STORAGE fee for fund managers, with unlimited no. of close-end and open-end funds	<b>EUR 200.00</b>
• INFO STORAGE access for 2 users	
11.6.2.3 INFO STORAGE access for additional user	<b>EUR 15.00</b>

### 11.6.3 SEO and INFO STORAGE services (pack)

11.6.3.1 SEO and INFO STORAGE service pack	<b>EUR 330.00</b>
• SEO and INFO STORAGE access for 2 users	
11.6.3.2 SEO and INFO STORAGE service pack for fund managers, with unlimited no. of close-end and open-end funds	<b>EUR 330.00</b>
• SEO and INFO STORAGE access for 2 users	
11.6.3.3 SEO and INFO STORAGE access for additional user	<b>EUR 15.00</b>
11.6.3.4 Single public announcement on SEOnet and INFO STORAGE for non-subscribers SEO and INFO STORAGE service pack	<b>EUR 330.00</b>
11.6.3.5 Single public announcement on SEOnet and INFO STORAGE for regular Clients leasing (SEO and) INFO STORAGE	<b>EUR 155.00</b>

The Single public announcement fee on SEOnet and INFO STORAGE from Section 11.6.1.4, 11.6.1.5, 11.6.3.4 and 11.6.3.5 include announcement of the notice of the same content in Slovenian and English.



The monthly fee for SEO and INFO STORAGE service pack from Section 11.6. 3 consists of the SEO system lease fee in the amount of EUR 193.25 and the INFO STORAGE lease fee in the amount of EUR 136.75.

Clients must settle the fees from Section 11.6.1.4 and 11.6.3.4 prior to the public announcement.

The monthly fee from Sections 11.6.1.1, 11.6.1.2, 11.6.2.1, 11.6.2.2, 11.6.3.1 and 11.6.3.2 shall, in the event of the contract becoming effective or being terminated during a month, be charged for the whole month.

## 11.7 Other Exchange services are charged in compliance with the following fee schedules and agreements

### 11.7.1 Ljubljana Stock Exchange Inc. Market Data Fee Schedule

### 11.7.2 SI ENTER Market Service Fee Schedule

### 11.7.3 Service Agreement.

11.8 For other Exchange services, not specified within this Fee Schedule and not intended for customers, the Exchange is entitled to charge proportionate fees reflecting the nature, scope and additional costs related to particular services.

In determining other fees, the following hourly rates will be considered by the Exchange Management Board:

- |  |                   |
|--|-------------------|
| • demanding expert tasks                     | <b>EUR 350.00</b> |
| • expert tasks                               | <b>EUR 200.00</b> |
| • administrative and other operational tasks | <b>EUR 100.00</b> |

In special cases the Exchange Management Board may decide to charge the subscriber the provision of the service at a lower price or to provide the service free of charge.

## 12 VALUE ADDED TAX

All prices in the Fee Schedule are given without VAT, which the Exchange charges to the users of its services separately, in compliance with the applicable legislation.

## 13 MANNER OF PAYMENT

The users of Exchange services are obliged to settle all the services from the Fee Schedule (except for fees under 5, 6.2, 9, 11.1.2 and 11.7.1 from the Fee Schedule) within 15 days after the invoice has been issued. In case of late payment, the Exchange will charge lawful interest for late payment.





## 14 DISCOUNTS AND FEE REDUCTIONS

- 14.1 In the event of a simultaneous decision on changes in listing or delisting for more securities of the same issuer, the Exchange Management Board approves a 50 percent discount from the third security onwards.
- 14.2 The Exchange Management Board may approve issuers which have more issues of securities listed on the stock exchange market with special discounts on listing maintenance fee for bonds.
- 14.3 All issuers that have listed on the stock exchange market more than five bonds shall be granted a 50 percent discount on the difference between EUR 2,000.00 and the accrued amount when calculating the listing fees for bonds referred to in item 2.1.1.
- 14.4 All issuers that have listed on the stock exchange market more than five bonds shall be granted a 50 percent discount on the difference between EUR 2,250.00 and the accrued amount when calculating the annual listing maintenance fees for bonds referred to in item 2.3.1.. If due to the listed or delisted of bond during a calendar year the fee is charged in a proportionate amount, the discount shall be also recognized in a proportionate amount.
- 14.5 The calculation of the fee for the listing of T-bills to trading on the stock exchange market with a maturity of more than 1 year in point 2.2.2.2 shall be granted a discount of 50 percent.
- 14.6 New member firm is granted a discount of 50% on the membership fee from item 7.1. of this Fee Schedule for a period of 12 months from the date of accession to membership.
- 14.7 If a new member firm decides on the "Class 1" billing of fees from item 8.1, they will be charged the fees on executed transactions within a period of 12 months from the date of accession, without taking into account the monthly lump sum.
- 14.8 The Exchange Management Board may approve users with additional discounts on an equal basis, which shall be publicly announced prior to them taking effect, and shall become part of the Fee Schedule on the day of the discount resolution becoming effective.

## 15 CHANGES OF FEE SCHEDULE

- 15.1 As a rule the Exchange shall send notice on changes to fees from points 1, 2, 3, 5, 11.2 and 11.3 of the Fee Schedule to issuers three (3) months and no less than one (1) month prior to the changes taking effect.
- 15.2 As a rule the Exchange shall send notice on changes to fees from points 7, 8, 9, 11.4 and 11.5 of the Fee Schedule to members three (3) months and no less than one (1) month prior to the changes taking effect.



- 15.3 As a rule the Exchange shall send notice on changes to fees from points 11.1.1, 11.1.2 and 11.6 of the Fee Schedule to costumers or users three (3) months and no less than one (1) month prior to the changes taking effect.

## 16 TRANSITIONAL AND FINAL PROVISIONS

- 16.1 Securities Market Agency issued its consent to chapters 11.6.2 and 11.6.3 of the Fee Schedule in its decision Ref. No. 4020-7/2020-8, dated 10 December 2020.
- 16.2 This Fee Schedule was adopted by the Management Board at its meeting on 26 October 2020 and shall take effect on the day of its adoption and shall enter into use on 1 February 2021.
- 16.3 Once this Fee Schedule takes effect, the following shall terminate:
- Ljubljana Stock Exchange Ltd. Services Fee Schedule as at 27 November 2019,
  - Decision on the discount on tariff items 2.1.1 and 2.3.1 as at 31 January 2020 and
  - Decision on the discount on tariff items 2.2.2.2 as at 5 March 2020.

Ljubljana, 18 December 2020

Ljubljana Stock Exchange Inc.

Nina Vičar, MSc, m. p.  
Member of the Management Board

Aleš Ipavec, MSc, m. p.  
President of the Management Board