



APPLICATION FORM FOR ADMISSION TO MEMBERSHIP OF THE LJUBLJANA STOCK EXCHANGE (Form 01/MT)

Please note that we can only accept duly completed and legible forms with the signature of the responsible person.

To

Ljubljana Stock Exchange
Slovenska cesta 56
1000 Ljubljana
Slovenia

Applicant

(name and address)

(date filed)

(date received – completed by Exchange)

In accordance with Article 187 (2) (3) of the Ljubljana Stock Exchange Rules ("Rules"), the undersigned applicant hereby applies for the following company to be admitted to membership of the Ljubljana Stock Exchange ("Exchange"):

Firm

Registered office and address

Management

Telephone, fax and e-mail address

LEI (Legal Entity Identifier)

The applicant is filing this application for membership of the Exchange in accordance with Article 70 (1) of the Rules.

1. The following documentation is enclosed to the application:
 - 1.1. extract from the register of companies or other official record where the applicant is incorporated, containing any latest changes;
 - 1.2. copy of the decision or licence to render investment services issued by the competent regulatory authority, along with a certificate issued by this same authority or by a notary, confirming the copy's identity to the original;
 - 1.3. certificate of a duly paid fee for adopting decisions, in accordance with the Exchange Fee Schedule.
2. An applicant who submits an application in accordance with Article 70 (2) of the Rules for membership on the stock exchange shall attach the following documentation to the application:
 - 2.1. all documentation referred to in point 1 of this form;
 - 2.2. an indication and description of the services which it may provide in accordance with the authorization of the competent supervisory authority, together with a statement of the place where they are to be provided;
 - 2.3. police clearance certificate or other evidence of fulfillment of the conditions referred to in indents 3 and 4 of point 1 of Article 70 a (1) of the Rules;
 - 2.4. financial statements for the last three financial years;
 - 2.5. proof or statement of fulfillment of the condition from indent 2 of point 2 of Article 70 a (1) of the Rules;



- 2.6. indication of publicly accessible registers of competent authorities for verification of compliance with the conditions of point 1 of Article 70 a (1) of the Rules and from indent 2 of point 2 of Article 70 a (1) of the Rules;
- 2.7. technical specification for providing data to the stock exchange for reporting purposes in accordance with Article 26 of the MiFIR Regulation;
- 2.8. other documentation proving the fulfillment of other conditions from point 3 of Article 70. a (1) of the Rules.

3. Anticipated first day of trading: _____

Applicant's representative: _____

(name of representative)

(Representative's signature and applicant's seal)

Note: In accordance with Articles 187 and 190 of the Rules, the Application Form for Admission to Membership of the Ljubljana Stock Exchange constitutes only part of the full application on the basis of which the Exchange may decide on admission to membership. The full application includes the completed and duly signed Application Form and the Membership Agreement signed by the applicant. The Membership Agreement is available at the Exchange.