



## APPLICATION FOR TRANSFER OF SHARES (Form 03/SVP)

Applicant \_\_\_\_\_

Date filed \_\_\_\_\_

Date received \_\_\_\_\_

(completed by Exchange)

### 1. General information on issuer

1.1. Current market (circle)

Prime Market

Standard Market

1.1. Desired market (circle)

Prime Market

Standard Market

1.2. Issuer firm \_\_\_\_\_

1.3. Issuer address \_\_\_\_\_

1.4. Issuer ID no. \_\_\_\_\_

1.5. Issuer LEI Code \_\_\_\_\_

1.6. Date of incorporation \_\_\_\_\_

### 1.7. General information on share to be listed

a. Symbol \_\_\_\_\_

b. Class \_\_\_\_\_

c. Number \_\_\_\_\_

d. Type (circle)

no-par value shares

par value shares

e. Nominal value (for par value shares) \_\_\_\_\_

f. Total issue value \_\_\_\_\_

### 2. Liquidity provider (LP) (fill out if applicable)

yes

no

2.1. LP firm \_\_\_\_\_

2.2. LP registered office \_\_\_\_\_

*Issuer Statement: by signing this application the issuer confirms to have concluded a valid agreement on liquidity provision for the class of shares subject to this Application.*

### 3. Transfer between markets – Conditions, and attachments required along with Application

#### 3.1. Transfer onto Prime Market

a. capital as at last audited balance sheet (with date)

b. audited annual reports for past 3 financial years

c. completed form Distribution of Class of Shares in Public with no. of shareholders

d. issuer's statements:

- *The issuer hereby commits to disclose information and report under International Financial Reporting Standards.*
- *The issuer hereby commits to provide the summaries of its public announcements in English.*
- *The issuer hereby commits to publish its interim reports, financial calendar and declaration on compliance with a Corporate Governance Code, in accordance with Article 2 of the Rules.*



- *The issuer hereby commits to strive to the best of its abilities to meet the best practices of disclosure, stipulated in the Exchange Guidelines on Disclosure.*
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4. The applicant hereby commits to settle the transfer fee, as invoiced by the Exchange.

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(Legally binding signature and issuer's seal)