



APPLICATION FORM FOR LISTING CLOSE-END FUND SHARES (Form 11/SVP)

Applicant _____

Date filed _____

Date received _____

(completed by Exchange)

Issuer – Investment company (ID)

1. Issuer firm _____

2. Issuer registered office _____

3. Issuer address _____

4. Issuer ID no _____

5. Issuer LEI Code _____

6. Incorporated on _____

7. Share capital _____

Issuer home state _____

(on a specified date)

for issuers from third countries give the investment firm authorized for the listing procedure

8. Issuer activity _____

Asset management company (DZU)

9. DZU firm _____

10. DZU registered office _____

11. Issuer home state

for issuers from third countries give the investment firm authorized for the listing procedure

12. Close-end fund shares for listing

a. type (mark) _____

par shares

no par shares

b. number _____

c. nominal value (if applicable) _____

d. issue value _____

13. Capital after last aud. bal. sheet

(on a specific date)

134 Number of shareholders

(on a specific date)

15. Reference price

(on first day of trading)

16. Selected OAM

17. Manner of publishing information (which medium)



18. Prospectus,

date & venue of publication

19. Contact info

- a) Issuer's contact person
b) Telephone no.
c) E-mail address
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20. Attachments to Application

- a) If prospectus was drawn up
- regulator's decision on authorization of the prospectus
 - prospectus with possible supplements in electronic form, authorized by an authoritative body
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- b) If prospectus was not mandatory
- issuer's statement on making use of the exemption from publication of the prospectus, along with the issuer's notice on such a statement having been provided to the regulator;
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- c)
- regulator's decision to provide investment fund management services;
 - regulator's licence to manage investment funds;
 - certificate stating that the legal position of the issuer (its incorporation and legal form of organization) is in compliance with the regulations in force in the state of its registered office:
 - DZU and close-end fund articles of association, consolidated version;
 - extract from the register of companies;
 - close-end fund audited annual report and most recent semi-annual report, if existent;
 - certificate stating that the securities have been validly issued (in dematerialized form and have been entered into the central registry, or in materialized form and are collectively held in the central depository) and that the conditions for reliable settlement of trades in these securities are met
 - DZU audited annual report, if existent;
 - evidence of payment of the fee for deciding on listing (payment is to be credited in compliance with the Exchange Fee Schedule in force from time to time, to current account number 03100-1006373354 held by SKB BANKA d. d. Ljubljana).
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21. The applicant states that all the securities from the class subject to the application for listing on the stock exchange market are fully paid-in and freely transferable.

22. The applicant commits to settle the listing fee, as invoiced by the Exchange.

23. Reporting and disclosure

In accordance with the provisions of ZISDU-3 (Investment Funds and Management Companies Act), the DZU must daily report the close-end value to the Exchange, as stipulated in the Exchange Instructions for Reporting the Close-End Fund Share Value. The DZU managing the fund whose shares are listed on the regulated market must provide the respective close-end fund values as of the previous trading day to the Exchange by 15.00 on a given trading day at the latest.

The applicant must comply with all currently valid regulations stipulating the obligations of disclosure and reporting on the business activities of close-end funds.



(Legally binding signature and issuer's seal)

Note: In accordance with Articles 187 and 190 of the Rules, the Application Form for Listing Shares constitutes only a part of the full application on the basis of which the Exchange may adopt the decision to list shares. The full application includes the completed and duly signed Application Form for Listing Shares and the Listing Agreement signed by the applicant. The Listing Agreement is available at the Exchange.