



APPLICATION FORM FOR LISTING OPEN-END FUND SHARES (Form 9/SVP)

Applicant _____

Date filed _____

Date received

(completed by Exchange)

1. Open-end fund

1.1. Fund name _____

1.2. Type (equity, bond, balanced, etc) _____

1.3. Incorporated on: _____

1.4. No. of shareholders (on date) _____

1.5. No. of fund shares (on date) _____

1.6. NAV per unit (on date) _____

1.7. One fund share is worded on _____

_____ (number) of fund net asset
units

1.8. Entry into register of dematerialised sec. _____

(date)

1.9. Issuer home state _____

for issuers from third countries give the inv. firm authorized for the listing
procedure

1.10. Issuer LEI Code _____

1.11. Issuer activity _____

1.12. Reference price on first trading day _____

2. Fund Management Company (DZU)

2.1. DZU firm _____

2.2. DZU registered office _____

2.3. DZU address _____

2.4. DZU ID no. _____

3. Custodian

3.1. Custodian firm _____

3.2. Custodian registered office _____

4. Liquidity provider (LP) (complete if applicable)

4.1. LP firm _____

4.2. LP registered office _____

5. Selected officially appointed mechanism

(OAM) _____

6. Manner of publishing information

(which medium) _____

7. Prospectus published (date and venue)



8. Contact info

- a) Issuer's contact person _____
b) Telephone no. _____
c) E-mail address _____

9. Listing on the stock exchange market – Conditions, and requisite attachments to Application

- certificate stating that the securities have been validly issued (in dematerialized form and have been entered into the central registry, or in materialized form and are collectively held in the central depository) and that the conditions for reliable settlement of trades in these securities are met;
- regulator's decision on having issued the authorization for rendering investment fund management services;
- DZU articles of association, consolidated version;
- regulator's decision on having issued the licence to manage the open-end fund, the shares of which are subject of this Application;
- regulator's decision authorising the prospectus and summary of the prospectus;
- open-end fund prospectus and summary of the prospectus (drawn up in accordance with the Investment Funds and Management Companies Act – ZISDU), in electronic form, as authorised by an authoritative body;
- open-end fund Rules of Management, and the regulator's approval of the Rules;
- evidence of payment of the fee for deciding on listing (payment is to be carried out in accordance with the Exchange Fee Schedule in force from time to time, to account number 03100-1006373354 held by SKB BANKA d. d. Ljubljana);
- open-end fund audited annual report and latest semi-annual report, if existent;
- DZU audited annual report, if existent.

The applicant hereby states that all the securities subject to the application for listing on the stock exchange market are freely transferable.

The applicant hereby binds to pay the listing fee, as invoiced by the Exchange.

(Legally binding signature and issuer's seal)

Note: In accordance with Articles 187 and 190 of the Rules, the Application Form for Listing Shares constitutes only a part of the full application on the basis of which the Exchange may adopt the decision to list shares. The full application includes the completed and duly signed Application Form for Listing Shares and the Listing Agreement signed by the applicant. The Listing Agreement is available at the Exchange.