



## TRADER APPOINTMENT / CHANGE / CANCELLATION (Form 03/MT)

Please note that we can only accept duly completed and legible forms with the signature of the responsible person.

To **Member**  
Ljubljana Stock Exchange (name and address)  
Slovenska cesta 56  
1000 Ljubljana  
Slovenia

<input type="checkbox"/> Setup / Activation	<input type="checkbox"/> Change	<input type="checkbox"/> Deletion / Deactivation	<b>Xetra<sup>®</sup> Member ID:</b>	1	2	3	4	5
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<p><b>Setup:</b></p> <p>We hereby apply for the below trader to deal in securities listed on the Ljubljana Stock Exchange in our name. We hereby confirm the trader is an authorised stock trader competent to work with trading system Xetra<sup>®</sup>, and will comply with the Exchange Rules and Instructions in force from time to time.</p>	<p><b>Change:</b></p> <p>The below trader's data have changed as evident from the below table (old data must also be provided).</p>	<p><b>Deletion:</b></p> <p>We hereby delete the setup of the below trader, who can no longer deal in securities listed on the Ljubljana Stock Exchange in our name.</p>
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### Trader

First and last name \_\_\_\_\_

Date of birth \_\_\_\_\_

Date of licence \_\_\_\_\_

- MANDATORY COMPLETION, if trader is being authorised /changed / deactivated to/from work on the Xetra T7<sup>®</sup> trading station through Trader GUI or CEE Trader trading station, otherwise it is considered that the member firm's authorisation is given exclusively for trading through the electronic interface:**

	6	7	8	9	10	11		
TRADING STATION	USER ID						NAME (BLOCK CAPITALS)	SIGNATURE OF TRADER
<input type="checkbox"/> <b>TRADER GUI</b>								
<input type="checkbox"/> <b>CEE TRADER</b>								
E-MAIL			TELEPHONE			FAX		

**All changes have to be communicated to the Ljubljana Stock Exchange without delay!**

- MANDATORY COMPLETION, if you have an activated trader supervisor and you completed the previous point (trader is being authorised to work on the Xetra T7<sup>®</sup> trading system through Trader GUI or CEE Trader trading station):**



User ID(s) from point 1 was/were entered / changed / deleted (**circle**) from the Xetra T7® trading system by trader supervisor and we ask the Exchange to appropriately activate / reconcile the status in the records / deactivate it(them).

**3. MANDATORY COMPLETION, if you do not have an activated trader supervisor and you completed point 1 (trader is being authorised to work on the Xetra T7® trading system through Trader GUI or CEE Trader trading station):**

We hereby authorise the Exchange to enter /change /delete (**CIRCLE**) from the Xetra T7® trading system user ID(s) from point 1 and we ask the Exchange to appropriately activate / reconcile the status in the records / deactivate it(them). In case of entry or change of user ID(s), **MARKING** of non-default rights to be assigned to the user ID(s) by the Exchange is **MANDATORY**:

<b>User level (default setting)</b>	
<b>Head trader/user</b>	Can monitor, change, delete his/her orders and orders of member firm's other users
<b>Securities Groups (default setting)</b>	
Trading with securities from all groups is allowed	
<b>Block trading (default setting)</b>	
Trading with securities from all groups is allowed	
<b>Accounts (označite:)</b>	
<input type="checkbox"/> <b>A</b>	Agent account
<input type="checkbox"/> <b>P</b>	Proprietary account
<input type="checkbox"/> <b>M</b>	Liquidity provider account
<b>Maximum order value (complete):</b>	
<b>Maximum order quantity (complete):</b>	

Date as of which this form becomes valid: \_\_\_\_\_

Place, date

Signature of member's responsible person

EFFECTIVE UNTIL TERMINATED